



Unit 802, Worldwide House, 19 Des Voeux Road Central, Central, Hong Kong.
香港中環德輔道中19號環球大廈802室
Fax: 2544 8439

E-mail: mail@gransing.com.hk

Hong Kong Investor Identification Regime (HKIDR)

Corporate Client Consent Letter

Under the investor identification regime (“HKIDR”) and the over-the-counter securities transactions reporting regime (“OTCR”), the waterfall of the acceptable corporate client identity documents in order of priority is:

- (1) Legal Entity Identifier (“LEI”) registration document;
- (2) Certificate of incorporation;
- (3) Business registration certificate;
- (4) other relevant documents.

Please confirm if you have the following identification document(s) in the following order:

	Yes	No
(1) Legal Entity Identifier (“LEI”) registration document;	<input type="checkbox"/>	<input type="checkbox"/>
(2) Certificate of incorporation;	<input type="checkbox"/>	<input type="checkbox"/>
(3) Business registration certificate;	<input type="checkbox"/>	<input type="checkbox"/>
(4) other relevant documents	<input type="checkbox"/>	<input type="checkbox"/>

If the client identification data provided to us is up-to-date and follows the waterfall requirement imposed by the SFC, you are not required to provide the document(s) again. If the equivalent document(s) was not previously provided, please provided the document(s) to us.

You understand and have full knowledge of the waterfall of the acceptable client identity documents under the HKIDR and the OTCR.

You are also required to provide us updated CID from time-to-time to ensure that CID maintained by us is up-to-date and comply with the waterfall requirement.

You agree that all our standard terms and conditions with respect to your account(s) shall still apply and shall remain in full force and effect.

RCG Securities Limited

I/ We hereby acknowledge and agree the above Client Consents.

Signature of Authorized Person:

(With company chop where applicable) _____

Account Name.: _____

Account No.: _____

Date: _____



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有關實施香港投資者識別碼制度

機構客戶同意函

在香港投資者識別碼制度及場外證券交易匯報制度下，就公司客戶而言可接受身份證明文件優先次序為：

- (1) 法律實體識別編碼（LEI）登記文件
- (2) 公司註冊證明書
- (3) 商業登記證
- (4) 其他同等文件。

請按下列優先次序確認閣下是否持有該等身份證明文件：

- | | 是 | 否 |
|------------------------|--------------------------|--------------------------|
| (1) 法律實體識別編碼（LEI）登記文件； | <input type="checkbox"/> | <input type="checkbox"/> |
| (2) 公司註冊證明書； | <input type="checkbox"/> | <input type="checkbox"/> |
| (3) 商業登記證； | <input type="checkbox"/> | <input type="checkbox"/> |
| (4) 其他同等文件 | <input type="checkbox"/> | <input type="checkbox"/> |

若閣下之前提供給我們的客戶識別信息是最新的，並且符合證監會規定的優先次序提供，則無需提供相關文件的副本。如閣下之前未提供過相關文件的副本則請提供。

閣下了解及充分掌握在香港投資者識別碼制度及場外證券交易匯報制度下可接受的身份證明文件優先次序。

閣下也須要不時向我們提供更新的客戶識別信息，以確保我們保存的客戶識別信息是最新及符合優先次序規則。

閣下亦同意閣下相關賬戶現有的條款及條件將繼續生效及保持全部效力和效果。

瑞城證券有限公司

本人／吾等簽字確認同意上述同意函之內容。

授權代表簽署：
(及蓋章-如適用)

客戶名稱/姓名:

帳戶號碼:

日期:
